

CASE PP/1-23002/A/PCT

CERTIFICATE OF MAILING

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Dolores DeCarmine  
Type or print name

Dolores DeCarmine  
Signature

4/17/07  
Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF  
MARTIN BRUNNER ET AL

Group Art Unit: 1711

Examiner:

INTERNATIONAL APPLICATION NO. PCT/EP 2004/053331

FILED: DECEMBER 8, 2004

FOR: FLUOROCARBON TERMINATED OLIGO  
AND POLY-CARBONATES AS SURFACE  
MODIFIERS

U.S. APPLICATION NO: 10/582,749

35 USC 371 DATE: JUNE 14, 2006

**Mail Stop: PCT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

LETTER TO CORRECT FILING RECEIPT

Sir:

Applicants have now received the filing receipt in the above-identified application. However, in viewing the paper, Applicants noted that the **Applicant(s)** information was incorrect. The filing papers, dated June 14, 2006 listed ten inventors. Enclosed is a copy of the executed Declaration which was submitted with the filing papers which shows this to be true. No change of inventorship was noted in the National Stage filing. Please issue a corrected filing receipt having the Applicant(s) area to read:

-- **Applicant(s)** Martin Brunner, Wallbach, SWITZERLAND;  
**Archana Kishore Desai**, Mumbai, INDIA;  
**Shrirang Bhikaji Hindalekar**, Mumbai, INDIA;  
**Suhas Dattatraya Sahasrabudhe**, Mumbai, INDIA;

**Armin Schneider, Freiburg, GERMANY;**  
**Paragkumar Nathalal Thanki, Mumbai, INDIA;**  
**Michael Tinkl, Eiken, SWITZERLAND;**  
Alessandro Zedda, Basel, SWITZERLAND;  
Michèle Gerster, Birsfelden, SWITZERLAND;  
Fabien Jean Brand, Huningue, FRANCE; --.

Enclosed is a copy of the filing receipt with the correction noted.

Respectfully submitted,



Tyler A. Stevenson  
Agent for Applicants  
Reg. No. 46,388

Ciba Specialty Chemicals Corporation  
Patent Department  
540 White Plains Road  
P.O. Box 2005  
Tarrytown, NY 10591-9005  
(914) 785-2783  
TAS/dd  
Encl.: copy of filing receipt  
copy of original Declaration  
Date: APR 17 2007



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	TOT CLMS	IND CLMS
10/582,749	06/14/2006	1711	900	PP/1-23002/A/PCT	17	1

324  
**CIBA SPECIALTY CHEMICALS CORPORATION**  
 PATENT DEPARTMENT  
 540 WHITE PLAINS RD  
 P O BOX 2005  
 TARRYTOWN, NY 10591-9005

RECEIVED  
 Andrea DeCeccis

MAR 26 2007

Basket Coordinator  
 Patent Department

CONFIRMATION NO. 5026

FILING RECEIPT



\*OC000000022963596\*

Date Mailed: 03/21/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Martin Brunner, Wallbach, SWITZERLAND;  
 Alessandro Zedda, Basel, SWITZERLAND;  
 Michele Gerster, Birsfelden, SWITZERLAND;  
 Fabien Jean Brand, Huningue, FRANCE;

Archana Kishore Desai, Mumbai, India;  
 Shirang Shikare Hindalekar, Mumbai, India;  
 Suhad Sathatrayan Sahasrabudhe, Mumbai, India;  
 Armin Schneider, Freiburg Germany;  
 Paragkumar Nathalal Thakki, Mumbai, India;  
 Michael Tinkly, Eben, Switzerland;  
 - 6 inventors omitted

**Assignment For Published Patent Application**

CIBA SPECIALTY CHEMICALS CORP., TARRYTOWN, NY

**Power of Attorney:** The patent practitioners associated with Customer Number 324.

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/EP04/53331 12/08/2004

**Foreign Applications**

EUROPEAN PATENT OFFICE (EPO) 03104844.0 12/19/2003

**If Required, Foreign Filing License Granted:** 03/17/2007

**The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/582,749****

**Projected Publication Date:** 06/28/2007

PP1-23002/A/PCT

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

Fluorocarbon terminated oligo-and poly-carbonates as surface modifiers

**Preliminary Class**

528

### **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

### **LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**DECLARATION AND POWER OF ATTORNEY FOR U.S. PATENT APPLICATIONS**

Original       Supplemental       Substitute       PCT

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if more than one name is listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**Fluorocarbon terminated oligo- and poly-carbonates as surface modifiers**

which is described and claimed in:

- the attached specification.  
 the specification in U.S. Application No.  
filed \_\_\_\_\_, and as amended on \_\_\_\_\_ (if applicable).  
 the specification in International Application No. PCT/EP2004/053331  
filed 08/12/2004 (day/month/year)  
assigned U.S. Application No. \_\_\_\_\_ (if applicable), and as amended  
 under PCT Article 19 on \_\_\_\_\_ (if applicable)  
 under PCT Article 34 on \_\_\_\_\_ (if applicable)  
 and further amended on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is known by me to be material to the patentability of this application as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America relating to this subject matter having a filing date before that of the application on which priority is claimed:

COUNTRY/REGION (OR PCT)	APPLICATION No.	FILING DATE (day/month/year)	PRIORITY CLAIMED	
Europe (designating all states)	03104844.0	19/12/2003	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. § 119 (e) of any United States provisional application(s) listed below:

APPLICATION NO.	FILING DATE (day/month/year)
-----------------	---------------------------------

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or PCT international application(s) designating the United States listed below and, insofar as the application discloses and claims subject matter in addition to that disclosed in the prior copending application, I acknowledge the duty to disclose all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. APPLICATION No.	FILING DATE (day/month/year)	STATUS
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

PCT APPLICATION No. (designating the U.S.)	INTERNATIONAL FILING DATE (day/month/year)	U.S. APPLICATION No. (if any)	STATUS
			<input type="checkbox"/> Patented
			<input type="checkbox"/> Pending
			<input type="checkbox"/> Abandoned

I hereby appoint the following attorneys and agents, associated with Customer No. 000324, each of them with full power of substitution, revocation and appointment of associates, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

JoAnn L. Villamizar (Reg. No. 30,598), Kevin T. Mansfield (Reg. No. 31,635),  
Michele A. Kovaleski (Reg. No. 37,865), Tyler A. Stevenson (Reg. No. 46,388),  
Shiela A. Loggins (Reg. No. 56,221), Joseph C. Suhadolnik (Reg. No. 56,880) and  
Mervin Wood (Reg. No. 56,711).

Address all correspondence associated with Customer No. 000324 to **Ciba Specialty Chemicals Corporation, Patent Department, 540 White Plains Road, P.O. Box 2005, Tarrytown, NY 10591-9005.**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole  
or first joint inventor      **Martin BRUNNER**

Inventor's signature       Date 25/04/06  
(day/month/year)

Residence      **Rosenstrasse 5  
4323 Wallbach  
Switzerland**

Citizenship      **Swiss**

Post Office Address      **same as above**

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Full name of second joint inventor, if any      **Archana Kishore DESAI**

Inventor's signature      A. K. Desai      Date 19 - 4 - 2006  
(day/month/year)

Residence      **Plot No. 63, B-6, Premier Society, C.S.T. Road,  
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Mumbai 400 024, Maharashtra  
India**

Citizenship      **Indian**

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---

Full name of third joint inventor, if any      **Shrirang Bhikaji HINDALEKAR**

Inventor's signature      S. H. D.      Date 19 - 4 - 2006  
(day/month/year)

Residence      **Shrirang Bhikaji HINDALEKAR, 9/55,  
B.D.D. Colony, N.M. Joshi Marg,  
Lower Parel (East),  
Mumbai 400 013 Maharashtra,  
India**

Citizenship      **Indian**

Post Office Address      **same as above**

---

Full name of fourth joint inventor, if any      **Suhas Dattatraya SAHASRABUDHE**

Inventor's signature      S. Sahasrabudhe      Date 19 / 04 / 2006  
(day/month/year)

Residence      **8, Om Abhiram Society, Ram Mandir Road  
Vile Parle (East)  
Mumbai 400 057 Maharashtra  
India**

Citizenship      **Indian**

Post Office Address      **same as above**

Full name of fifth joint inventor, if any **Armin SCHNEIDER**

Inventor's signature  Date 11-05-2006  
(day/month/year)

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Citizenship **German**

Post Office Address **same as above**

Full name of sixth joint inventor, if any **Paragkumar Nathalal THANKI**

Inventor's signature Paragkumar Nathalal THANKI Date 19-04-2006  
(day/month/year)

Residence **A-302, Shri Panchamrut HC Society,  
N. L. Complex, Dahisar (East),  
Mumbai 400 068, Maharashtra,  
India**

Citizenship **INDIAN**

Post Office Address **same as above**

Full name of seventh joint inventor, if any **Michael TINKL**

Inventor's signature U. Tinkl Date 09/05/2006  
(day/month/year)

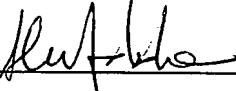
Residence **Eichenweg 5  
5074 Eiken  
Switzerland**

Citizenship **German**

Post Office Address **same as above**

---

Full name of eighth joint inventor, if any **Alessandro ZEDDA**

Inventor's signature  Date 27-04-2006  
(day/month/year)

Residence **Friedensgasse 6  
4056 Basel  
Switzerland**

Citizenship **Italian**

Post Office Address **same as above**

---

Full name of ninth joint inventor, if any **Michèle GERSTER**

Inventor's signature  Date 25/04/2006  
(day/month/year)

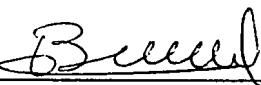
Residence **Zwinglistrasse 4  
4127 Birsfelden  
Switzerland**

Citizenship **Swiss**

Post Office Address **same as above**

---

Full name of tenth joint inventor, if any **Fabien Jean BRAND**

Inventor's signature  Date 25/04/2006  
(day/month/year)

Residence **12, rue des Boulanger  
68330 Huningue  
France**

Citizenship **French**

Post Office Address **same as above**